

09/05/03
16085 U.S. PTO

Docket No. PARSE-C4

Express Mail No. _____

15757 U.S. PTO
10/656535
09/05/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
UTILITY APPLICATION AND FEE TRANSMITTAL §(1.53(b))

Assistant Commissioner for Patents,

Mail Stop Provisional Application

PO Box 1450
Alexandria VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s) names and addresses:

(1) Michael Seul
84 Pleasant Avenue
Fanwood New Jersey 07023

(2) Chiu Wo Chau
Edison New Jersey

☐ Additional inventors are listed on a separate sheet

For: Encoded Random Arrays and Matrices

Enclosed Are:

71 page(s) of specification
1 page(s) of Abstract
5 page(s) of claims
36 sheets of ☒ Formal ☐ Informal drawings

2

page(s) of Declaration and Power of Attorney

- ☐ Unsigned
- ☐ Newly Executed
- ☒ Copy from prior application
- ☐ Deletion of inventors including Signed Statement under 37 C.F.R. §1.63(d)(2)

☐ **REQUEST AND CERTIFICATION UNDER 35 U.S.C. §122(b)(2)(B)(i) (form PTO/SB/35)**

As indicated on the attached Request and Certification, Applicant(s) certify that the invention disclosed in the attached application HAS NOT and WILL NOT be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. Applicant(s) therefore request(s) that the attached application NOT be published under 35 U.S.C. §122(b).

☒ Incorporation by Reference:

- ☒ The entire disclosure of the prior application, from which a copy of the combined Declaration and Power of Attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

☐ Deletion of Inventors (37 C.F.R. §1.63(d) and §1.33(b))

Signed statement attached deleting inventor(s) named in the prior application serial no. _____, filed _____.

☐ Microfiche Computer Program (Appendix)

- ☐ page(s) of Sequence Listing
☐ computer readable disk containing Sequence Listing
☐ Statement under 37 C.F.R. §1.821(f) that computer and paper copies of the Sequence Listing are the same

☐ Assignment Papers (assignment cover sheet and assignment documents)

- ☐ A check in the amount of \$40.00 for recording the Assignment
☐ Charge the Assignment Recordation Fee to Deposit Account No.
☒ Assignment Papers filed in the parent application Serial No. 09/768,413

☐ Certification of chain of title pursuant to 37 C.F.R. §3.73(b)

☒ Priority is claimed under 35 U.S.C. §119 for:
Application No(s). PCT/US97/08159, filed 4/24/97, in PCT (country).

- ☐ Certified Copy of Priority Document(s) [_____] ☐ filed herewith
☐ filed in application Serial No. _____, filed _____.
☐ English translation document(s) [_____] ☐ filed herewith
☐ filed in application Serial No. _____, filed _____.
☒ Priority is claimed under 35 U.S.C. §119(e) for:
Provisional Application No. 60/016,642, filed 4/25/1996.

- ☐ Information Disclosure Statement
- ☐ Copy of [] cited references
- ☐ PTO Form-1449
- ☐ References cited in parent application Serial No. _____, filed _____.
- ☒ Related Case Statement under 37 C.F.R. §1.98(a)(2)(iii)
- ☒ A copy of related pending U.S. Application(s) Serial No(s): 10/365,993, filed 02/13/03, respectively, is attached hereto.
- ☐ A copy of related pending U.S. Application(s) entitled, _____, filed _____ to inventor(s) _____, respectively, is attached hereto.
- ☐ A copy of each related application(s) was submitted in parent application serial no. _____, filed _____.
- ☒ Preliminary Amendment
- ☐ Return receipt postcard (MPEP 503)
- ☒ This is a ☒ continuation ☐ divisional ☐ continuation-in-part of prior application serial no. 10/365,993, filed 02/13/03, to which priority under 35 U.S.C. §120 to it, and to all applications it claims priority to or is entitled to priority to, is claimed.
- ☒ Cancel in this application original claims (all claims) of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☒ A Preliminary Amendment is enclosed.
- ☐ The status of the parent application is as follows:
- ☐ A Petition for Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until _____.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☒ No Petition for Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the parent application to this application
- x Please amend the specification by inserting before the first line the sentence:

This is a continuation of co-pending application Serial No. 10/365,993, filed 02/13/03, which is a continuation of co-pending application Serial No. 09/768,414, filed 1/24/2001. which is a continuation of application Serial No. 09/397,793, filed 9/17/99, now abandoned, which is a continuation-in-part of application Serial No. 09/171,550, filed

Docket No. **Error! Reference source not found.**

10/26/98, now U.S. Patent No. 6,251,691, which claims priority to provisional Application No. 60/016,642, filed on 4/25/96.

I. CALCULATION OF APPLICATION FEE				
	Number Filed	Number Extra	Rate	Basic Fee \$750.00/375.00
Total Claims	8- 20 =	x	\$18.00/ \$9.00	\$
Independent Claims	2- 3 =	x	\$84.00/ \$42.00	\$
<input checked="" type="checkbox"/> Multiple Dependent Claims		If marked, add fee of \$280.00 (\$140.00)		\$
TOTAL:				\$ 375.00

- ☒ Applicants state that this application is entitled to small entity status and request that such status be accorded. Reduced fees under 37 C.F.R. §1.9 (f) paid herewith \$375.00.

A check in the amount of \$ in payment of the application filing fees is attached.

- ☒ Charge fee to Deposit Account No., Order No. 502088. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

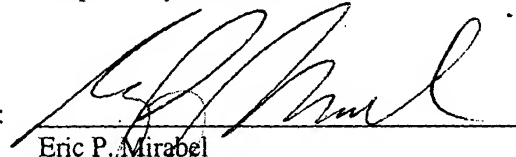
The Commissioner is hereby authorized to charge any additional fees which may be required for filing this application pursuant to 37 CFR §1.16, **including all extension of time fees pursuant to 37 C.F.R. § 1.17 for maintaining copendency** with the parent application, or credit any overpayment to Deposit Account No. 502088. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

Dated:

7/17/03

By:



Eric P. Mirabel
Registration No. 31,211

Correspondence Address::
Bioarray Solutions
35 Technology Drive
Warren New Jersey 07059
Telephone 908 226 8200 Ext 203
Facsimile: 908 226 0800